

# Transaction Instruction Slip (For Purchase)

NJ India Invest Pvt. Ltd.  
NJ Centre, "B" Tower, Udhna Udhog Nagar Sangh Commercial Complex, Central Road No.10, Udhna, Surat - 394210

Fields Mark with (\*) are mandatory.

\*Partner Code

\*Date

TAR No:

## Client Details:

\*Client Name:

\*Unique Client Code (UCC):

\*Demat Account No:

Sr. No.	*Scheme Name	*Option (Please tick any one below option)			*Amount (Inclusive of Brokerage)
		Div Payout	Div Reinvest	Growth	
1.					
2.					
3.					
4.					
5.					
Total Amount					

\*(Please attach separate TIS for more than 5 schemes.)

## \*Service Category (Please tick any one option):

Execution OR  Advisory

## \*Payment Mode (Please tick any one option):

Cheque OR  NEFT/RTGS OR  ACH OR  Auto Debit

## Bank Detail:

\*Bank Name:

\*Account Number:

Cheque Number:  NEFT/RTGS Reference No.:

The above given bank should be registered with Trading Account.

I / We have read and agreed to terms and conditions mentioned overleaf and will be solely responsible for any loss in case of not adhering to any instructions mentioned in the overleaf Terms & Conditions.

Client Signature:

## For NJ Office use only

Date:

CRO Signature:

## Acknowledgment

Client Name:

Date:  Amount:  UCC No.:  TAR No:

Cheque Number:  NEFT/RTGS Reference No.:

This is an acknowledgement that the TIS is received at NJ PSC on the Date & Time mentioned above. However Transaction will be processed subject to the payment clearance & successful outcome of the verification of the TIS by NJ official.

Signature/Stamp of NJ PSC



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# Terms & Conditions (For Purchase)

## General :

1. The Client submitting the Transaction Instruction Slip to NJ India Invest Private Limited (NJ) shall all the time abide by these Terms and Conditions.
2. Cheque should be drawn in favour of "NJ India Invest Pvt. Ltd".
3. The Client shall mention the UCC allotted to him and the order shall be placed in the name as appearing in the Trading / Demat Account for that UCC.
4. The order will be processed as per the details given in the TIS (Transaction Instruction Slip) & any rejection due to incomplete TIS or incorrect information would be the sole responsibility of the Client.
5. The cheque should be issued only from the Bank account which is registered with NJ India Invest Pvt. Ltd. at the time of opening Trading/Demat Account.
6. Any correction / alteration in the TIS should be counter signed by the Client.
7. Signature should match with signature appearing in the Trading Account opening form.

## Transactions :

1. All the orders submitted before 1:00 p.m. will be on the best efforts basis processed for the same day NAV.
2. The Cut-off time may differ from PSC to PSC & will be subject to change as per NJ's discretion from time to time. The Client shall confirm the cut-off time with the PSC before placing the order.
3. Investment/Order amount shall be the amount after deducting Brokerage charges & applicable taxes from the transaction/cheque amount.
4. Orders will be executed only for the Schemes which are available on the Exchange Platform & offered by NJ.
5. Client will ensure that there is sufficient balance in his/her bank account for making the order good order.
6. NJ India Invest is not responsible for the order failing for any reason whatsoever.
7. Client transaction will be rejected if NEFT/RTGS is received from bank account other than registered with NJ. Refund will be initiated to client for such transactions.
8. For ACH payment mode, client's bank account will be debited within T+1 working day (T= transaction submission date) & transactions will be executed only after funds confirmation in NJ account (ideally on T+2 working days)
9. For Auto Debit payment mode, client's bank account will be debited on T day, if the transaction is submitted before cutoff & it will be debited on T+1 working day if the transaction is submitted after cutoff.
10. For Auto Debit & ACH mode, it will be client's responsibility to check available mandate & its limit.
  - (a) TIS will be returned if the mandate is not available on the selected bank account.
  - (b) TIS will be returned if the Transaction amount is exceeding the available mandate limit.
11. All the purchase transactions with payment mode as NEFT/RTGS, ACH & Auto Debit will be processed only after receipt of fund confirmation from the bank.
12. In case if the client fails to pay such amount, all the future transaction will be processed on fund clearance basis only.
13. In case of any credit arising from such a order wherein the clients cheque has bounced after adjusting for penalties and charges, such amount shall be credited to clients bank account by NJ India Invest Pvt Ltd.
14. In case of any recovery failure from client, the same will be recovered from partner's brokerage.
15. For purchase orders placed over Rs. 1 lakh & for any lockin schemes (eg ELSS) the order shall be executed on the business day immediately succeeding the day on which NJ receives clear funds.
16. The limit of Rs. 1 lakh is provided at UCC level i.e. client transaction will be processed on same day only if consolidated value of all transactions on a single day is lesser or equal to Rs. 1 lakh. If the transaction value is above Rs. 1 lakh same will be processed only after fund clearance in NJ account.
17. The limit of Rs. 1 lakh per client is not applicable for NFO schemes.
18. All NFO transactions will be processed only after fund clearance in NJ bank account.
19. The NFO scheme will be closed for PSC 3 days prior to the actual NFO close date. Hence the NFO transaction has to be submitted to NJ PSC at least 3 days prior to NFO close date.
20. In case of fund clearance after NFO date, same shall be refunded to client registered bank account.
21. If TIS includes any ELSS scheme along with Non ELSS scheme, then all the transactions will be processed on fund clearance basis only. It is preferred that client submits separate TIS & cheque for ELSS & ongoing scheme.
22. If TIS includes any NFO scheme along with an ongoing scheme, then all the transactions will be processed on fund clearance basis only. It is preferred that client submits separate TIS & cheque for NFO & ongoing schemes.
23. "Total Cheque Amount" in TIS should be inclusive of brokerage charges.
24. The Brokerage charges will be levied as per the rate agreed at the time of entering into the agreement as mentioned in the Brokerage Annexure or as communicated/modified from-time-to-time.
25. The Client agrees that the ARN holder has disclosed to him/her all the commissions (in form of trail commission or any other mode), payable to him for the different competing schemes of various Mutual Funds from amongst the scheme being recommended .
26. The Client confirms and acknowledges that the amount of the cheque offered for purchase shall not be less than the minimum amount as prescribed by the AMCs for particular schemes from time-to-time.
27. By signing the TIS client agrees, confirms and acknowledges that he/she has read the Offer Document & Key Information Memorandum of the Scheme in which he/she is investing.
28. NJ India Invest Pvt. Ltd. will not be responsible & not bear any losses of NAV in case there is a delay in order processing due to unavoidable circumstances.
29. In case if the order is rejected by the Exchange/R&T, refund will be made only to the bank account which is registered in Trading Account.
30. In case of any dispute or complaints, client shall produce the TIS acknowledgement copy.
31. All the disputes are subject to Surat Jurisdiction only.

Client Signature:

ARN Holders Name:

ARN Holders Signature:



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